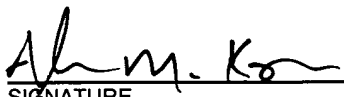| FORM PTO-1390 (REV 11-2000) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER **723-1075** |
|---|---|---|

| **TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371** | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) **09/831,018** |
|---|---|

| INTERNATIONAL APPLICATION NO. **PCT/US00/41057** | INTERNATIONAL FILING DATE **4 October 2000** | PRIORITY DATE CLAIMED **14 October 1999** |
|---|---|---|

**TITLE OF INVENTION**
**SERIAL NUMBER MASK AND CHECK DIGIT FOR ELECTRONIC REGISTRATION SYSTEM (ERS)**

**APPLICANT(S) FOR DO/EO/US**
**KOON**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).

5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☐ is attached hereto (required only if not communicated by the International Bureau).

    b. ☐ has been communicated by the International Bureau.

    c. ☐ is not required, as the application was filed in the United States Receiving.Office (RO/US).

6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☐ is attached hereto.

    b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

    a. ☐ are attached hereto (required only if not communicated by the International Bureau).

    b. ☐ have been communicated by the International Bureau.

    c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.

    d. ☐ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 To 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.

13. ☐ A FIRST preliminary amendment.

14. ☐ A SECOND or SUBSEQUENT preliminary amendment.

15. ☐ A substitute specification.

16. ☐ A change of power of attorney and/or address letter.

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☐ Other items or information.

529126

| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br>09/831,018 | INTERNATIONAL APPLICATION NO.<br>PCT/US00/41057 | ATTORNEY'S DOCKET NUMBER<br>723-1075 |
|---|---|---|

| 21. ☒ The following fees are submitted: | CALCULATIONS | PTO USE ONLY |
|---|---|---|

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

-- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.......................$1000.00

-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...................$860.00

-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.......................$710.00

-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..............................$690.00

-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).......................................$100.00

| | | |
|---|---|---|
| ENTER APPROPRIATE BASIC FEE AMOUNT = | $ 0.00 | |
| Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20  ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | $ 0.00 | |

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
|---|---|---|---|---|---|---|
| Total Claims | 22 | -22 = | 0 | X | $18.00 | $ 0.00 |
| Independent Claims | 3 | -3 = | 0 | X | $80.00 | 0.00 |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | | | $270.00 | | $ 0.00 |
| | | | | TOTAL OF ABOVE CALCULATIONS = | | $ 0.00 |

| ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | 0.00 | |
|---|---|---|
| SUBTOTAL = | $ 0.00 | |
| Processing fee of $130.00, for furnishing the English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). + | 0.00 | |
| TOTAL NATIONAL FEE = | $ 0.00 | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). **$40.00** per property + | $ 0.00 | |
| Fee for Petition to Revive Unintentionally Abandoned Application ($1240.00 – Small Entity = $620.00) | $ 0.00 | |
| TOTAL FEES ENCLOSED = | $ 0.00 | |
| | Amount to be: refunded | $ |
| | Charged | $ |

a. ☐ A check in the amount of $0.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of $_____ to cover the above fees. A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.

d. ☒ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**

NIXON & VANDERHYE P.C.
1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000

SIGNATURE

**Alan M. Kagen**
NAME

**36,178**                    May 30, 2001
REGISTRATION NUMBER        Date

529126